PTO/SB/21 (05-03)

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			Applic	ation Number	10/603,3	39	
	ISMITTAL	=	Filing	Date	June 24,	2003	
FORM			First Named Inventor		Jedrzejev	Jedrzejewski, Paul	
(to be used for all cor	respondence after i	nitial filing)	Art Un	Art Unit		ned	
			Exami	ner Name	Unassign	ed	
Total Number of Pages	in This Submission	٦ 6	Attorn	ey Docket Number	020144-0	001512US	
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Fee Transmittal F	orm	☐ Drawin	g(s)		After A	Allowance Communication to Group	
Fee Attached		Licensi	ing-relate	d Papers		l Communication to Board of Appeals terferences	
Amendment/Reply	<b>/</b>	Petition	ו			l Communication to Group (Appeal , Brief, Reply Brief)	
After Final			n to Conv		☐ Proprie	etary Information	
Affidavits/decl	Power			ey, Revocation espondence Address	Status	Letter	
Extension of Time Request		nal Disclaimer		Other (please	Enclosure(s) identify below):		
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Information Disclo	sure Statement	CD, NC	inder or	00(3)			
Certified Copy of I	⊃riority	Rema	The Commissioner is authorized to charge any additional fees Account 20-1430.		charge any additional fees to Deposit		
Response to Miss				I			
Response to I under 37 CFR							
	SIG	NATURE O	F APPL	ICANT, ATTORNEY,	OR AGEN	Т	
Firm	Townsend and To	wnsend and	Crew LLF				
or Individual	Patrick R. Jewik	1		Reg. No	. 40,456		
Signature							
Date			9	118/03	3		
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						tates Postal Service with sufficient postage 50 on the date shown below.	
Typed or printed name	e Michael Now	ak					
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Attorney Docket No.: 020144-001512

Commissioner for Patents

P.O. Box 1450 Jexandria, VA 22313-1450

September 10,2003

TOWNSEND and TOWNSEND and CREW LLP

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Paul Jedrzejewski, et al.

Application No.: 10/603,339

Filed: June 24, 2003

For: MICROFLUIDIC DEVICES AND

**METHODS** 

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the references can be found in Application No. 10/208,381, filed July 29, 2002 (Attorney Docket No. 020144-001511US). It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

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representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Patrick R. Jewik Reg. No. 40,456

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8<sup>th</sup> Floor San Francisco, California 94111-3834 Tel: 415-576-0200

Fax: 415-576-0300

PRJ:mbn 60036444 v1



Substitute for form 1449B/PTO

## **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet of 3

Complete if Known				
Application Number	10/603,339			
Filing Date	June 24, 2003			
First Named Inventor	Jedrzejewski, Paul			
Art Unit	Unassigned			
Examiner Name	Unassigned			
Attorney Docket Number	020144-001512US			

		·	U.S. PATENT D	OCUMENTS	<del></del>
Examiner Initials*	Cite No.1	Document Number  Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US-4,071,409	01/31/1978	Messing et al.	
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	AC	US-5,143,854	09/01/1992	Pirrung et al.	
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-	AK	US-5,620,850	04/15/1997	Bamdad et al.	
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Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

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## **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

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Sheet 2 of 3

Complete if Known				
Application Number	10/603,339			
Filing Date	June 24, 2003			
First Named Inventor	Jedrzejewski, Paul			
Art Unit	Unassigned			
Examiner Name	Unassigned			
Attorney Docket Number	020144-001512US			

во	US-6,186,660 B1	2/13/2001	Kopf-Sill et al.	
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	FOREIGN PATENT DOCUMENTS								
F		For	eign Patent Docume	nt		Name of Patentee or	Pages, Columns, Lines,		
Examiner Initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup>	Number <sup>4</sup> Kin	d Code <sup>5</sup> ( <i>If known</i> )	Publication Date MM-DD-YYYY	Applicant of Cited  Document	Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>	
	BS	DE	19754978	A1	07/01/1999	Bruker Daltonik GmbH			
	ВТ	PCT	WO9428418	A1	12/08/1994	Baylor College of Medicine			
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	CA	PCT	WO0014197	A1	03/16/2000	HITACHI SOFTWARE ENGINEERING CO., LTD.			
	СВ	PCT	WO0015321	A1	03/23/2000	ADVANCED BIOANALYTICAL SERVICES, INC.			

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Signature	Considered	

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PTO/SB/08B (08-03)

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Examiner Name	Unassigned	_		
Attorney Docket Number	020144-001512US			

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2
	СС	AHN, et al. "Microfluidic Devices and Systems II" (SPIE – The International society for Optical Engineering, September 20 – 21, 1999)	
	CD	DESAI et al., "A MEMS Electrostatic Particle Transportation System," Sensors and Actuators A: Physical (1999)	
	CE	DUCRÉE, et al. "Topspot – A New Method for the Fabrication of Microarrays" (IEEE April 2000)	
	CF	KAMISUKI, et al. "A High Resolution, Electrostatically-Driven Commercial Inkjet Head" (Production Engineering & Development Department, System Device Division, Selko Epson Corporation)	
	CG	MAN et al., "Microfabricated Capillarity-Driven Stop Valve and Sample Injector" (University of Michigan, Ann Arbor, MI)	
	СН	MSIA™ "Mass Spectrometric Immunoassay" (Intrinsic Bioprobes, Inc.)	
	CI	PETERSEN et al., "The Promise of Miniaturized Clinical Diagnostic Systems" (IVDT Archive, July 1998)	

Examiner	Date	
Signature	Considered	

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